



3763

Docket No. CRD-957

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : David Christian Lentz, et al.

Serial No. : 09/966,447 Art Unit: 3763

Filed : September 28, 2001 Examiner: Not yet assigned

For : COATED MEDICAL DEVICES

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231 on

JULY 26 2002

(Date of deposit)

Carl J. Evans

(Name of applicant, assignee, or Registered Representative)

(Signature)

7/26/02

(Date of Signature)

RECEIVED

AUG - 2 2002

TC 3700 MAIL ROOM

Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

In accordance with §1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under §1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in §1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under §1.114, no additional fee is required.

In accordance with §1.129(a), this Information Disclosure Statement is being filed in connection with  the first or  second After Final Submission, therefore:

- Statement in Accordance with §1.97(e) (attached);  
or
- Please charge Deposit Account No. 10-0750/ /  
the fee of \$180.00 as set forth in §1.17(p).

In accordance with §1.97(c), this Information Disclosure Statement is being filed after the period set forth in §1.97(b) above but before the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311, or an action that otherwise closes prosecution and that it is accompanied by one of:

- Statement in Accordance with §1.97(e) (attached);  
or

Please charge Deposit Account No. 10-0750/CRD957/CJE the fee of \$180.00 as set forth in §1.17(p).

In accordance with §1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under §1.113 or a Notice of Allowance under §1.311 but before the payment of the Issue Fee. Applicant(s) hereby petition(s) for consideration of this Information Disclosure Statement. Included are: Statement in Accordance with §1.97(e) as set forth below and the fee of \$180.00 as set forth in §1.17(p).

Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.

Copies of references listed on the attached Form PTO-1449 are enclosed herewith EXCEPT THAT:

In view of the voluminous nature of references [list as appropriate], and the likelihood that these references are available to the Examiner, copies are not enclosed herewith.

If any of the foregoing publications are not available to the Examiner, Applicant will endeavor to supply copies at the Examiner's request.

There are no listed references which are not in the English language.

The relevance of those listed references which are not in the English language is as follows:

Attached are copies of search report(s) from corresponding patent application(s), which are listed on the attached Submission Under MPEP 609 D.

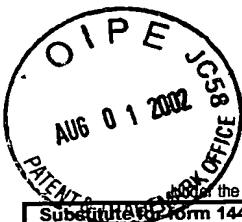
Attached are the following non-published pending patent applications which may be deemed relevant, which are listed on the attached Submission Under MPEP 609 D.

Please charge any deficiency or credit any overpayment to Deposit Account No. 10-0750/CRD957/CJE. This form is submitted in triplicate.

Respectfully submitted,

  
\_\_\_\_\_  
Carl J. Evens  
Reg. No. 33874  
Attorney for Applicants

Johnson & Johnson  
One Johnson & Johnson Plaza  
New Brunswick, NJ 08933-7003  
(732) 524-2518  
DATED:



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute PTO Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 8

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>Application Number</b>	09/966,447
<b>Filing Date</b>	September 28, 2001
<b>First Named Inventor</b>	Lentz, David Christian
<b>Group Art Unit</b>	3763
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	CRD-957

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		4,739,762		Palmaz, J.C.	04/26/88	
		4,776,337		Palmaz, J.C.	10/11/88	
		5,041,100		Rowland, S.M. et al.	8/20/91	
		5,049,132		Shaffer, M.A. et al.	9/17/91	
		5,061,750		Feijen, J. et al.	10/29/91	
		5,134,192		Feijen, J. et al.	7/28/92	10
		5,213,576		Abiuso, C.L. et al.	5/25/93	3
		5,306,250		March, K.L. et al.	4/26/94	AUG - 2 MAIL ROOM 2002
		5,308,862		Ohlstein, E.H.	5/3/94	
		5,328,471		Slepian, M.J.	7/12/94	
		5,336,518		Pallassana, V.N. et al.	8/9/94	RECEIVED 2002

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				

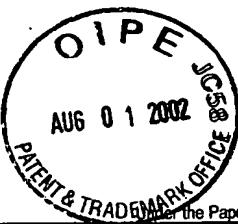
Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 8

<b>Application Number</b>	09/966,447
<b>Filing Date</b>	September 28, 2001
<b>First Named Inventor</b>	Lentz, David Christian
<b>Group Art Unit</b>	3763
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	CRD-957

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear																																																
		Number	Kind Code <sup>2</sup> (if known)																																																			
		5,342,621		Eury, R.P.	8/30/94																																																	
		5,368,566		Crocker, M.	11/29/94																																																	
		5,393,772		Yue, T.L. et al.	2/28/95	T																																																
		5,409,696		Narayanan, P.V. et al.	4/25/95	TC 370																																																
		5,429,634		Narcisco, H.L.	7/4/95			5,439,446		Barry, J.	8/8/95	RECEIVED			5,441,947		Dodge, J.A. et al.	8/15/95	AUG 1 2001			5,443,458		Eury, R.P.	8/22/95	MAIL ROOM			5,486,357		Narayanan, P.V.	1/23/96				5,523,092		Hanson, S.R. et al.	6/4/96				5,551,954		Buscemi, P.J. et al.	9/3/96				5,556,413		Lam, S.	9/17/96	
		5,439,446		Barry, J.	8/8/95	RECEIVED																																																
		5,441,947		Dodge, J.A. et al.	8/15/95	AUG 1 2001																																																
		5,443,458		Eury, R.P.	8/22/95	MAIL ROOM																																																
		5,486,357		Narayanan, P.V.	1/23/96																																																	
		5,523,092		Hanson, S.R. et al.	6/4/96																																																	
		5,551,954		Buscemi, P.J. et al.	9/3/96																																																	
		5,556,413		Lam, S.	9/17/96																																																	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				

Examiner Signature

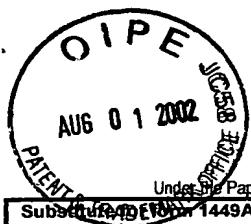
Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute Reference 1449A/PTO

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3 of 8

**Application Number****09/966,447****Filing Date****September 28, 2001****First Named Inventor****Lentz, David Christian****Group Art Unit****3763****Examiner Name****Not yet assigned****Attorney Docket Number****CRD-957****U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,569,197		Helmus, M.N. et al.	10/29/96	
		5,574,059		Regunathan, S. et al.	11/12/96	TO 3100 MAIL ROOM
		5,580,873		Bianco, J.A. et al.	12/3/96	AUG - 2 2002 RECEIVED
		5,580,874		Bianco, J.A. et al.	12/3/96	
		5,591,140		Narayanan, P.V. et al.	1/7/97	
		5,620,984		Bianco, J.A. et al.	4/15/97	
		5,621,102		Bianco, J.A. et al.	4/15/97	
		5,622,975		Singh, J.P. et al.	4/22/97	
		5,629,315		Bianco, J.A. et al.	5/13/97	
		5,643,939		Ohlstein, E.H.	7/1/97	
		5,648,357		Bianco, J.A. et al.	7/15/97	
		5,649,977		Campbell, P.K.	7/22/97	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				

Examiner Signature

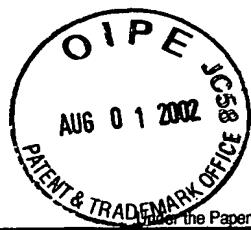
Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 4 of 8

<b>Application Number</b>	09/966,447
<b>Filing Date</b>	September 28, 2001
<b>First Named Inventor</b>	Lentz, David Christian
<b>Group Art Unit</b>	3763
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	CRD-957

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup>			
		5,651,174		Schwartz, R.S. et al.	7/29/97	
		5,652,243		Bianco, J.A. et al.	7/29/97	
		5,653,992		Bezwada, R.S. et al.	8/5/97	
		5,662,609		Slepian, M.J.	9/2/97	AUG - 2 2001
		5,665,728		Morris, R.E. et al.	9/9/97	RECEIVED TC 370 MAIL ROOM
		5,670,506		Leigh, A. et al.	9/23/97	
		5,709,874		Hanson, S.R. et al.	1/20/98	
		5,725,549		Lam, S.	3/10/98	
		5,731,326		Hart, C.E. et al.	3/24/98	
		5,733,920		Mansuri, M.M. et al.	3/31/98	
		5,733,925		Kunz, L.L. et al.	3/31/98	
		5,739,138		Bianco, J.A. et al.	4/14/98	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				

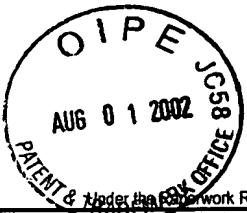
Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for Form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 5 of 8

<b>Application Number</b>	09/966,447
<b>Filing Date</b>	September 28, 2001
<b>First Named Inventor</b>	Lentz, David Christian
<b>Group Art Unit</b>	3763
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	CRD-957

### U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,769,883		Buscemi, P.J. et al.	6/23/98	
		5,776,184		Tuch, R.J.	7/7/98	
		5,780,476		Underiner, G.E. et al.	7/14/98	
		5,782,908		Cahalan, L.L. et al.	7/21/98	
		5,788,979		Alt, E.	8/4/98	
		5,792,772		Bianco, J.A. et al.	8/11/98	
		5,798,372		Davies, P.A. et al.	8/25/98	
		5,807,861		Klein, J.P. et al.	9/15/98	
		5,811,447		Kunz, L.L. et al.	9/22/98	
		5,858,990		Walsh, K.	1/12/99	
		5,873,904		Ragheb, A.O. et al.	2/23/99	
		5,876,433		Lunn, A.C.	3/2/99	

### FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
								TC
								C
								AUG -
								2001
								RE
								CEIVED
								MAIL ROOM

Examiner Signature

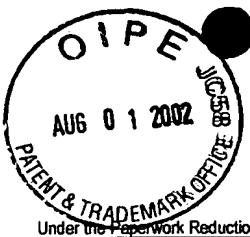
Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 6 of 8

*Application Number*

09/966,447

*Filing Date*

September 28, 2001

*First Named Inventor*

Lentz, David Christian

*Group Art Unit*

3763

*Examiner Name*

Not yet assigned

*Attorney Docket Number*

CRD-957

## U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,891,108		Leone, J.E. et al.	4/6/99	
		5,900,246		Lambert, T.L.	5/4/99	
		5,902,266		Leone, J.E. et al.	5/11/99	
		5,951,586		Berg, E.P. et al.	9/14/99	
		5,957,971		Schwartz, R.S.	9/28/99	
		5,972,027		Johnson, M.W.	10/26/99	
		5,976,534		Hart, C.E. et al.	11/2/99	
		5,977,163		Li, C. et al.	11/2/99	
		5,980,553		Gray, L.B. et al.	11/9/99	
		5,980,566		Alt, E. et al.	11/9/99	
		5,980,972		Ding N.	11/9/99	
		5,981,568		Kunz, L.L. et al.	11/9/99	

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
								3700 MAIL ROOM
								AUG -2 2002 RECEIVED

Examiner Signature

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.  
DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 7 of 8

**Application Number****09/966,447****Filing Date****September 28, 2001****First Named Inventor****Lentz, David Christian****Group Art Unit****3763****Examiner Name****Not yet assigned****Attorney Docket Number****CRD-957****U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		5,985,307		Hanson, S.R. et al.	11/16/99	
		5,997,468		Wolff, R.G. et al.	12/7/99	
		6,004,346		Wolff, R.G. et al.	12/21/99	
		6,039,721		Johnson, K. et al.	3/21/00	
		6,059,813		Vrba, A.C. et al.	5/9/00	
		6,071,305		Brown, J.E. et al.	6/6/00	
		6,074,659		Kunz, L.L. et al.	6/13/00	
		6,080,190		Schwartz, R.S.	6/27/00	
		6,096,070		Ragheb, A.O. et al.	8/1/00	
		6,136,798		Cody, W.L., et al.	10/24/00	
		6,140,127		Sprague, E.A.	10/31/00	
		6,146,358		Rowe, S.J.	11/14/00	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	KindCode <sup>5</sup>				
								3700 MAIL ROOM
								AUG - 2 2002 RECEIVED

Examiner Signature

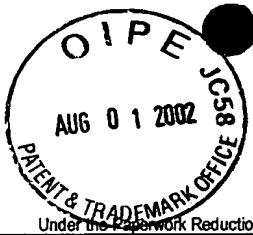
Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**

Approved for use through 10/31/2002. OMB 0051-0650  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
to a collection of information unless it displays a valid OMB control number.

**Substitute for form 1449A/PTO**

Substitute for form 1449A/PTO	Application Number	09/966,447
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>	Filing Date	September 28, 2001
(use as many sheets as necessary)	First Named Inventor	Lentz, David Christian
Sheet 8 of 8	Group Art Unit	3763
	Examiner Name	Not yet assigned
	Attorney Docket Number	CRD-957

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 8 of 8

## **U.S. PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document mm-dd-yyyy	Pages, Columns, Lines, where relevant passages or relevant figures appear
		Number	Kind Code <sup>2</sup> (if known)			
		6,153,252		Hossainy, S.F. et al.	11/28/00	
		6,171,232		Papandreou, G. et al.	1/9/01	
		6,171,609		Kunz, L.L.	1/9/01	
		6,177,272		Nabel, G.J. et al.	1/23/01	
		6,240,616		Yan, J.Y.	6/5/01	
		6,254,632		Wu, S.Z. et al.	7/3/01	
		6,268,390		Kunz, L.L.	7/31/01	
		6,273,913		Wright, C. et al.	8/14/01	
		6,287,320		Slepian, M.J.	9/11/01	
		6,287,628		Hossainy, S.F. et al.	9/11/01	
		6,306,421		Kunz, L.L. et al.	10/23/01	
		6,313,264		Caggiano, T.J. et al.	11/6/01	

## **FOREIGN PATENT DOCUMENTS**

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231.

**DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**